THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/780,126

Filing Date:

02/17/2004

Applicants:

David McKay

Entitled:

CONICAL SHELL GRASPING AND RETAINING APPARATUS, METHOD

FOR COATING INVERTED CONICAL SHELLS, AND MODULAR AND RECONFIGURABLE FROZEN CONE CONFECTION MANUFACTURING

SYSTEM AND METHOD

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.18(A)

Date of Deposit: January

yru 4,2006

I hereby certify that this correspondence is being deposited with the US. Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sheri L. Hunter

Sir:

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98**

As authorized and encouraged under 37 CFR §§ 1.97-1.98 and the provisions of MPEP §§ 609 and 707.05 (b), Applicants submit herewith certain patent references, publications and/or other information which the Patent and Trademark Office may wish to consider in examining the above-

{H0630261.1 }

identified patent application. The references and information are listed below and on attached Form PTO-1449.

## **U.S. PATENTS**

U.S. PATENT NUMBER	INVENTOR(S)
5,007,466	Mueller et al.
5,127,449	Mueller et al.
5,419,099	Mueller et al.
5,524,683	Mueller

### **U.S. PATENT APPLICATIONS**

U.S. PUBLICATION NUMBER	INVENTOR(S)
None	

### **FOREIGN DOCUMENTS**

COUNTRY	PATENT NO./PUBLICATION NO.	INVENTOR(S)
PCT	WO 00/78159 A1	Rud

### **OTHER DOCUMENTS**

### 1. None.

Copies of the patent references are not included in this submission because they are available electronically, but copies of the non-patent references (if any) are attached. These references are being disclosed for the express purpose of providing the Patent and Trademark Office with ample opportunity to evaluate the same and arrive at an independent assessment of the materiality of each, if any, to the examination of the above-identified application.

In reviewing the above documents, the Examiner is instructed to ignore any underscoring or highlighting which may have been done because such markings may or may not have any (H0630261.1)

relationship to the subject matter of the above-identified application. The copies being submitted with this Information Disclosure Statement are the best copies available at this time.

The identification of any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law.

Applicant respectfully requests that the documents cited herein be made of record in the normal manner and that such documents appear on the printed patent as being considered and made of record.

Respectfully submitted,

Date: <u>December 30</u> 2005

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# FORM PTO-1449 TO BE FILED WITH INFORMATION DISCLOSURE STATEMENT

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No.: 611920-23

Serial No.: 10/780,126
Filing Date: 02/17/2004
Applicant: David McKay

INFORMATION
DISCLOSURE STATEMENT
BY APPLICANTS

U.S. PATENT DOCUMENTS

Examiner's Initial	Document Number	Date	Name	Class/Sub- class
	5,007,466	04/16/1991	Mueller et al.	141/1
	5,127,449	07/07/1992	Mueller et al.	141/1
	5,419,099	05/30/1995	Mueller et al.	53/473
	5,524,683	06/11/1996	Mueller	141/129

### U.S. PATENT APPLICATION DOCUMENTS

Examiner's Initial	Document Number	Date	Name	Class/Sub- class
	None			

### FOREIGN PATENT DOCUMENTS

Examiner's Initial	Document Number	Date	Country/Name	Translation? yes/no
	WO 00/78159 A1	12/28/2000	PCT	No

### **OTHER DOCUMENTS**

### 1. None.

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(H0622113.1 }

The identification any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.

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